Estate Planning Council of Rochester

MEMBERSHIP APPLICATION 2024-2025

connect@epcrochester.org
585-260-6663
54 Woodline Drive
Penfield, NY 14526

Applicant Full Name	______________________	Date	__________
Firm Name	______________________	Email	__________
Firm Address	______________________	Phone	__________
Job Title	______________________	Years of Experience	__________
Professional Certifications	______________________		

Membership/Discipline Category (check one - definitions on page 3)

- ○ Trust Professional (BTO)
- ○ Attorney (CLE)
- ○ Credentialed Life Insurance (CLU)
- ○ Accountant (CPA)
- ○ Financial Planner (CFP)
- ○ Chartered Financial Consultant (ChFC)
- ○ Affiliate
- ○ New Professional
- ○ Student

Briefly detail your professional background, percentage of time you work in estate planning in your profession and your experience (as related to the estate planning field in general, and your membership category eligibility in particular). For Students, please indicate your field of study and expected graduation or completion date.

What do you hope to gain as a member of Estate Planning Council of Rochester?

Sponsors

Please have two sponsors complete the section below. The undersigned sponsors are current members of the council, personally know the applicant, confirm that the applicant meets the qualifications (page 3) and recommend that the applicant be admitted into membership. One sponsor must be from the applicant's own discipline and the other from a different discipline.

Sponsor 1
Signature ______________________________ Date ______________

Print Name ______________________________ Phone ______________

Discipline ______________________________ Email ______________

Please escribe how you know the candidate.

Sponsor 2
Signature ______________________________ Date ______________

Print Name ______________________________ Phone ______________

Discipline ______________________________ Email ______________

Please escribe how you know the candidate.

Applicant

I understand that, if approved, my continued membership in the Estate Planning Council of Rochester is contingent upon my continuing qualification according to the terms under which I joined, remaining a member in good standing in my discipline, plus my attendance at no less than two program meetings a year and timely payment of dues. I hereby agree to notify the Council at such time as I may no longer qualify.

Signature ______________________________ Date ______________

For Office Use Only

Certifications and licensing verified ___________________________

<u>Categories of Membership</u> The membership of the Council shall consist of all members in good standing. Consideration shall be given to applicants for membership who attest on the membership application that they are: (a) presently actively engaged in the field of estate planning or estate administration with at least three years' experience in this area, (b) in one or more of the professional categories of members listed below, (c) and who have always been in good standing with, and who meet all of the ethical requirements of, their respective profession(s), and (d) not currently suspended (or who have not been previously removed) by the governing body or bodies overseeing any of the professional categories of members listed below to which they now belong or to which they may have belonged in the past:

A. Representatives of Trust Companies or banks maintaining Trust Departments. An applicant shall be a trust officer or a fiduciary officer of a bank or trust company who is engaged in the management and administration of trusts and or estates, or fiduciary governance and management of the fiduciary practice and is recommended for membership by a senior officer of the Trust Department who is a member of the Estate Planning Council of Rochester. For purposes of this Paragraph A, trust officer of a bank or trust company shall include senior trust officer, trust team leader, trust manager, trust executive or titles of similar meaning or nature within a trust company or trust department.

B. Attorneys. An applicant shall be an admitted attorney in New York State who either: (1) is engaged, in substantial part, in the field of estate planning or estate administration, or (2) is employed with a local Surrogate's Court.

C. Life Insurance Agents or Representatives. An applicant shall be a Chartered Life Underwriter who is continuously licensed in accordance with the policies of The American College of Financial Services and who is engaged, in substantial part, in the field of estate planning or estate administration.

D. Accountants. An applicant shall be a Certified Public Accountant who is engaged, in substantial part, in the field of estate planning or estate administration.

E. Financial Planners. An applicant shall be a Certified Financial Planner who is continuously licensed in accordance with the policies of the Certified Financial Planner Board of Standards, Inc., and who is engaged, in substantial part, in the field of estate planning or estate administration.

F. Chartered Financial Consultants. An applicant shall be a Chartered Financial Consultant who is continuously licensed in accordance with the policies of The American College of Financial Services, and who is engaged, in substantial part, in the field of estate planning or estate administration.

G. Affiliate. Affiliates include professionals with expertise in the area of estate planning and includes, but is not limited to the following: Certified Valuation Analyst (CVA), Certified Private Wealth Advisor® (CPWA®); Chartered Advisor in Philanthropy® (CAP®) Master of Science in Financial Services (MSFS), Master of Science in Taxation (MST). Affiliates also need to provide a brief description of their role, professional certifications, and reasons for wanting to join Estate Planning Council. Continuing Education will not be provided for Affiliates. Affiliates shall receive a 10% discount on the standard membership fee rounded to the nearest $5.

H. New Professional. New Professionals include those individuals who are otherwise eligible for membership but lacking in the required years of experience. The requirement of three years of experience shall be waived for this category. The expectation is that members in this category will graduate to full membership. Members shall be allowed remain in this category for no more than three (3) years. New Professionals shall receive a 25% discount on the standard membership fee rounded to the nearest $5.

I. Student. This category includes individuals engaged in study for any of the approved categories. This would include undergraduate, graduate or those engaged in study for approved certifications. The expectation is that students in this category will graduate to New Professional and then full membership. Students shall receive a 50% discount on the standard membership fee rounded to the nearest $5.